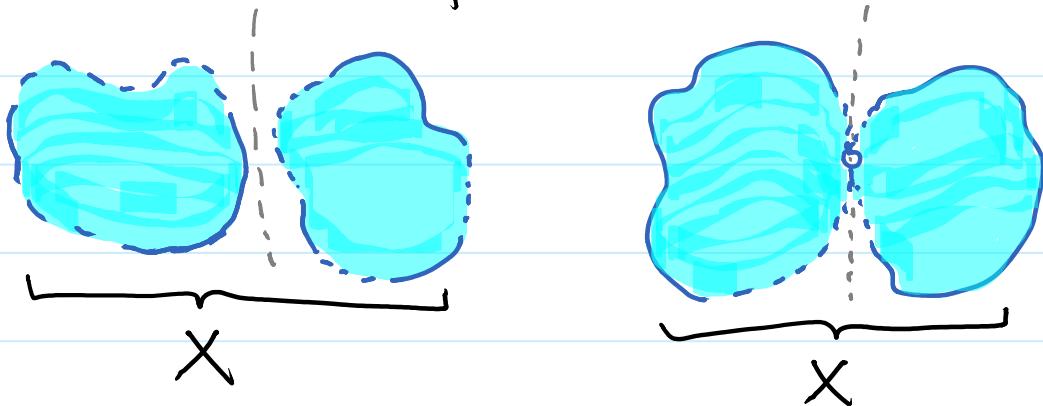


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## Natural Idea of disconnectedness



These two examples of  $X \subset \mathbb{R}^2$  are disconnected cases. In both cases, one may find two "pieces", each "piece" lies in an open half of  $\mathbb{R}^2$ . So, each "piece" is open in  $X$ .

**Definition.**  $(X, J_X)$  is disconnected if

$$\exists \phi \neq U, V \in J_X, \underbrace{U \cup V = X}_{\text{Open wrt } X}, \underbrace{U \cap V = \emptyset}_{\begin{aligned} V &= X \setminus U \\ U &= X \setminus V \end{aligned}} \} \text{ closed in } X$$

**Proposition.**

$X$  is disconnected  $\Leftrightarrow \exists U, \text{ both open and closed wrt } X, \phi \neq U \subseteq X$ .

Concept of connectedness can be given by the negation above.

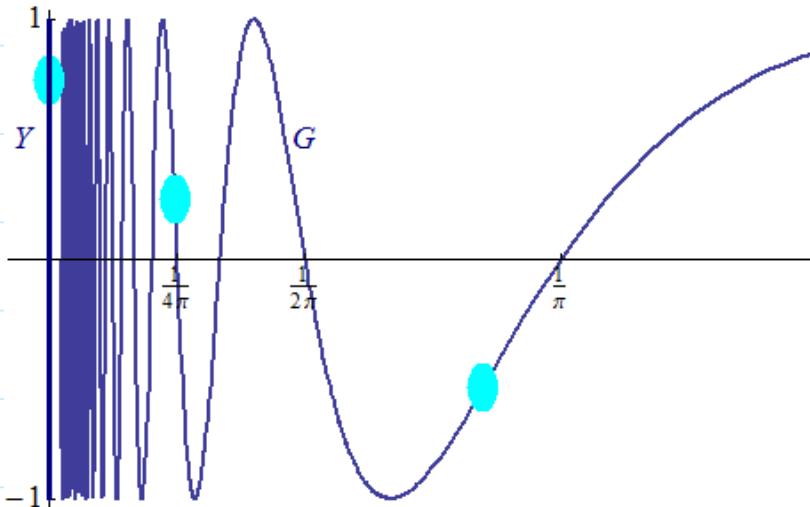
**Definition.**  $(X, \mathcal{J})$  is connected if  $\forall S \subset X$  which is both open & closed in  $X$ ,  $S = \emptyset$  or  $S = X$

$$S, X \setminus S \in \mathcal{J}$$

We know for any  $(X, \mathcal{J})$ ,  $\emptyset \in \mathcal{J}$  and  $X \in \mathcal{J}$ . In other words, in a connected  $X$ ,  $\emptyset, X = X \setminus \emptyset$  are the only such case.

**Famous Example.**  $X = Y \cup G$  where

$$Y = \{(x, y) : x = 0\}, G = \{(x, y) : y = \sin \frac{1}{x}, x > 0\}$$



In this  $X$ ,  $Y$  is not open as for all  $U \subset \mathbb{R}^2$  with  $Y \subset U \in \mathcal{J}_{\text{std.}}$ ,

this  $U$  must contain a small nbhd of  $(0,0)$  which meets  $G$  infinitely many times.

From another view,  $\exists$  sequence  $(\frac{1}{n\pi}, 0) \in G$  but its limit  $(0,0) \notin G$ .  $\therefore G$  is not closed.

**Proposition.**  $(X, \mathcal{J})$  is connected  $\iff$

$\forall \phi \neq A, B \subset X$  satisfying  $A \cap B = \phi$  and  $A \cup B = X$ ,  
we have  $\bar{A} \cap B \neq \phi$  or  $A \cap \bar{B} \neq \phi$

**Remark.** As in the example,

$$\bar{Y} = Y \text{ and so } \bar{Y} \cap G = \phi$$

But  $Y \cap \bar{G} = \{0\} \times [-1, 1] \neq \phi$

**Idea of Proof.**

We work on the contrapositive and assume  
 $\bar{A} \cap B = \phi$  and  $A \cap \bar{B} = \phi$ .

Note that  $A \cap B = \phi$  and  $A \cup B = X$ , so  $A = X \setminus B$   
Moreover,  $\bar{A} \cap B = \phi$  implies  $\bar{A} = X \setminus B$   
thus  $A = \bar{A}$ , i.e.,  $A$  is closed

Similarly, from  $A \cap \bar{B} = \phi$ , get  $B$  is closed  
Thus, both  $A, B$  are open and closed.

**Other Examples.**

\*  $X = (0, 2)$  which is connected

$$= \underbrace{(0, r]}_{A = \bar{A}} \cup \underbrace{(r, 2)}_{B = \bar{B}} \text{ for any } 0 < r < 2$$

$\bar{B} = [r, 2)$  in  $X$

$$\therefore A \cap \bar{B} = \{r\} \neq \phi$$

\*  $X = (0, 1) \cup (1, 2)$

$$\underbrace{A = \bar{A}}_{\text{in } X} \quad \underbrace{B = \bar{B}}_{\text{in } X}$$

$$\bar{A} \cap B = \phi \text{ and } A \cap \bar{B} = \phi$$

**Definition.** Let  $x_0 \in X$ .  $C \subset X$  is the connected component of  $x_0$  if either one holds.

①  $C$  is the largest connected subset of  $X$  containing  $x_0$ .

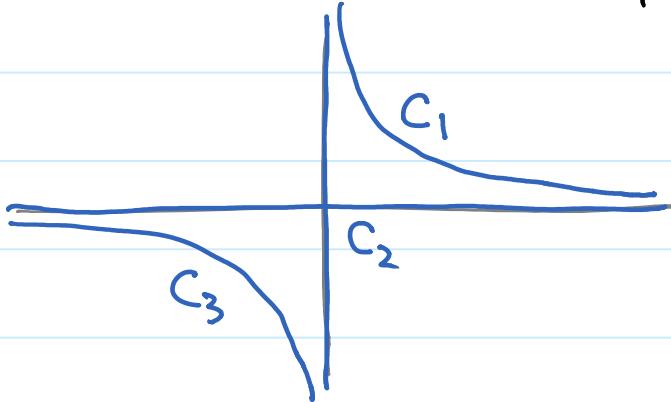
②  $C = \bigcup \{A \subset X : x_0 \in A \text{ and } A \text{ is connected}\}$

③ For the equivalence relation  $x \sim y$  on  $X$  where  $x, y \in A$  for some connected  $A \subset X$ ,  $C = [x_0]$ , the equivalence class of  $x_0$ .

**Example.** As a subspace of standard  $\mathbb{R}^2$ ,

$$X = \{(x, y) : xy = 0 \text{ or } xy = 1\}$$

It has three connected components



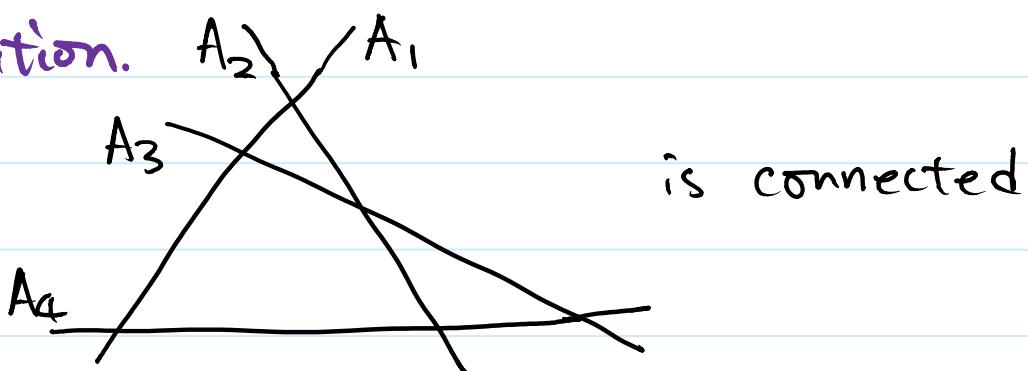
We need to make sure that the three definitions are well-defined and equivalent. The following is useful. In fact, it will be useful in many situations.

**Theorem.** Let  $A_\alpha \subset X$  be connected subsets.

If  $\forall \alpha, \beta \in I$ ,  $A_\alpha \cap A_\beta \neq \emptyset$

then the union  $A = \bigcup_{\alpha \in I} A_\alpha$  is connected

**Illustration.**



is connected

**Application** to the 3 definitions.

We have all connected subsets  $A_\alpha$ ,  $x_0 \in A_\alpha$   
then  $x_0 \in \bigcap_{\alpha \in I} A_\alpha$ , implies  $A_\alpha \cap A_\beta \neq \emptyset$ .

$$\text{and } C = \bigcup_{\alpha \in I} A_\alpha$$

**Idea of proof.** Assume  $S \subset A = \bigcup_{\alpha \in I} A_\alpha$

such that  $S$  is both open & closed in  $A$

Then  $S \cap A_\alpha$  is both open & closed in  $A_\alpha$

$$\therefore \forall \alpha \in I, S \cap A_\alpha = \emptyset \quad \text{or} \quad S \cap A_\alpha = A_\alpha$$

at this point, it may be  $\emptyset$  for some  $\alpha$  but  $A_\beta$  for some  $\beta$ .

Use the condition to show

$$(S \cap A_\alpha = \emptyset \quad \forall \alpha) \quad \text{or} \quad (S \cap A_\alpha = A_\alpha \quad \forall \alpha)$$

$$\text{Then } S = \emptyset \quad \text{or} \quad S = A$$